S MAIL NO. EL 813862916 US PATENT APPLICATION Docket No: 15689.67 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE In re application of Yukihiko Okumura Serial No.: 09/763,375 Art Unit 2631 Confirmation No.: 4856 Filed: February 21, 2001 For: DATA TRANSMISSION METHOD, DATA MAR 0 4 2003 TRANSMISSION SYSTEM, TRANSMITTER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Technology Center 2800

AND RECEIVER

Assistant Commissioner for Patents Washington, DC 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believe that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the concise explanation for Japanese Publication No.: 02-211722 is provided in the English language abstract of that publication.

DATED February 26, 2003.

Respectfully submitted,

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Technology Center 2800

ADRIAN J. LEE Attorney for Applicant Registration No. 42,785

PATENT TRADEMARK OFFICE

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TRANSMITTAL OF INFORMATION DISCLO (Under 37 CFR 1.97(b) or 1.97		Docket No. 15689.67			
In Re Application of: Yukiniko Okumura					
Serial No. Filing Date Examiner Group Art U 09/763,375 February 21, 2001 2631					
Title: DATA TRANSMISSION METHOD, DATA TRAN	SMISSION SYSTEM,				
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	MA	AR 0 4 2003			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231					
37 (CFR 1.97(b)				
1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
37 (CFR 1.97(c)				
2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
☐ the statement specified in 37 CFR 1.97(e)					
OR					
☐ the fee set forth in 37 CFR 1.17(p).					

TRANSMITTAL OF INFORMATION DISCLO		Docket No. 15689.67		
In Re Application: Yukhiik Okumura				
Serial No. Filing Date 09/763,375 February 21, 2001	Examiner	Group Art Unit 2631		
DATA TRANSMISSION METHOD, DATA TRAN	SMISSION SYSTEM,	ECEIVED		
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	Techn	ology Center 2699		
•	nent of Fee ects to pay the fee set forth in 37 CFR 1.			
☐ A check in the amount of is atta ☐ The Assistant Commissioner is hereby authorized as described below. A duplicate copy of this shee ☐ Charge the amount of ☐ Credit any overpayment. ☐ Charge any additional fee required. ☐ Certificate of Transmission by Facsimile* ☐ I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F ☐ (Date) ☐ Signature ☐ Si	to charge and credit Deposit Acco t is enclosed. Certificate of Mailing by I certify that this document and f	First Class Mail ee is being deposited the U.S. Postal Service C.F.R. 1.8 and is missioner for Patents,		
Typed or Printed Name of Person Signing Certificate	Typed or Printed Name of Perso	Person Mailing Certificate		
	Dated: February 26, 2003 O22913 TENT TRADEMARK OFFICE			
cc:				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No.					
(Under 37 CFR 1.97(b) or 1.97	7(c))	15689.67			
In Re Application Of: Yukhnika Okumura					
Serial No. Filing Date 09/763,375 February 21, 2001	Examiner	Group Art Unit 2631			
Title: DATA TRANSMISSION METHOD, DATA TRANSMITTER AND RECEIVER	SMISSION SYSTEM,				
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Α	Address to:	MAR 0 4 2003			
Assistant Com	nmissioner for Patente	chnology Center 2600			
37 C	CFR 1.97(b)				
1. A The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
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☐ the statement specified in 37 CFR 1.97(e);	į				
OR					
☐ the fee set forth in 37 CFR 1.17(p).					

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In Re Application: Yukihiko Okumura				
Serial No. Filing Date 09/763,375 February 21, 2001	Examiner	Group Art Unit 2631		
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 ☐ A check in the amount of is atta ☒ The Assistant Commissioner is hereby authorized as described below. A duplicate copy of this shee ☐ Charge the amount of ☒ Credit any overpayment. ☒ Charge any additional fee required. ☐ Certificate of Transmission by Facsimile* 	et is enclosed.	Account No. 23-3178 ng by First Class Mail		
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Typed or Printed Name of Person Signing Certificate	Typed or Printed Name o	of Person Mailing Certificate		
·	Dated: February 26, 2003 O22913 ATENT TRADEMARK OFFICE	3		
cc;				

12-27-03 69/2631

CERTIFICATE OF MAILING BY "EXPENDED Applicant(s): Yakihiko Okumura	Docket No. 15689.67				
Serial No. Filing Date 09/763,375	Examiner	Group Art Unit 2631			
Invention: DATA TRANSPISSION METHOD, D AND RECEIVER	DATA TRANSMISSION SYSTEM, TI	RANSMITTER			
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February 26, 2003		,			
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ADRIAN J. LEE					
(Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence)					
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Transmittal for Information Disclosure Statement (2 pages; duplicate); Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages); Form PTO-1449 Listing of References (1 page); Legible Copy of Reference (1 reference); Certificate of Express Mail (EL813862916US); Acknowledgment Postcard



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		Docket Number (Optional) 15689.67		Application Number 09/763,375						
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				Filing Date February 21		Group Art Unit	2631			
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